

Guarantee Issuance Closure - Islamic User Guide

# **Oracle Banking Trade Finance Process Management**

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Oracle Banking Trade Finance Process Management - Guarantee Issuance Closure - Islamic User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

## Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Guarantee Issuance Closure - Islamic

As part of Conventional Guarantee Issuance Close, System enables the user to close the Guarantee which had been already issued.

The various stages involved for Guarantee Issuance Close are:

- Receive and verify documents and input basic details- Registration stage
- Upload of related mandatory and non-mandatory documents
- Input/Modify details of Close of Guarantee - Data Enrichment stage
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Issuance Close process flow is similar to that of conventional Guarantee Issuance Close process flow.

In the subsequent sections, let's look at the details for Islamic Guarantee Issuance Closure process:

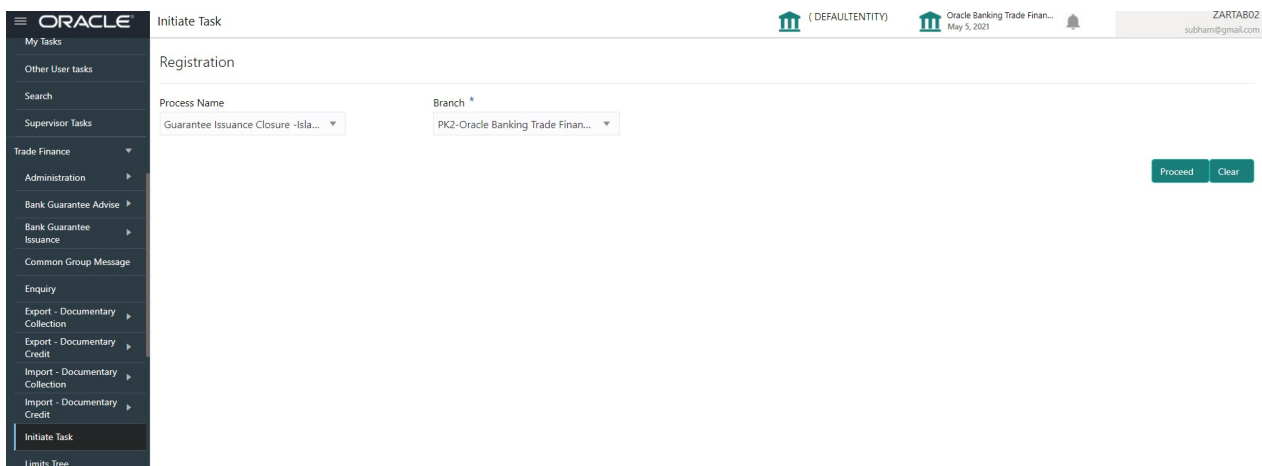
This section contains the following topics:

|                                          |                                 |
|------------------------------------------|---------------------------------|
| Common Initiation Stage                  | Registration                    |
| Data Enrichment                          | Multi Level Approval            |
| Customer - Acknowledgement letter Format | Customer - Reject Letter Format |

## Common Initiation Stage

The user can initiate the new Islamic guarantee issuance closure request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

| Field        | Description                                   |
|--------------|-----------------------------------------------|
| Process Name | Select the process name to initiate the task. |

| Field  | Description        |
|--------|--------------------|
| Branch | Select the branch. |

## Action Buttons

Use action buttons based on the description in the following table:

| Field   | Description                                                        |
|---------|--------------------------------------------------------------------|
| Proceed | Task will get initiated to next logical stage.                     |
| Clear   | The user can clear the contents update and can input values again. |

## Registration

The user can register a request for the Closure of Guarantee/SBLC Issued received at the front desk (as an application received physically/received by mail/fax).

During registration stage, user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant. It also enables the user to capture some additional product related details as an option. On submit of the request, the customer will be notified with an acknowledgment and the request will be available for a Guarantee Issuance expert to handle the request in the next stage

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

**FuTura Bank**

Sign In

User Name \*

SRIDHAR

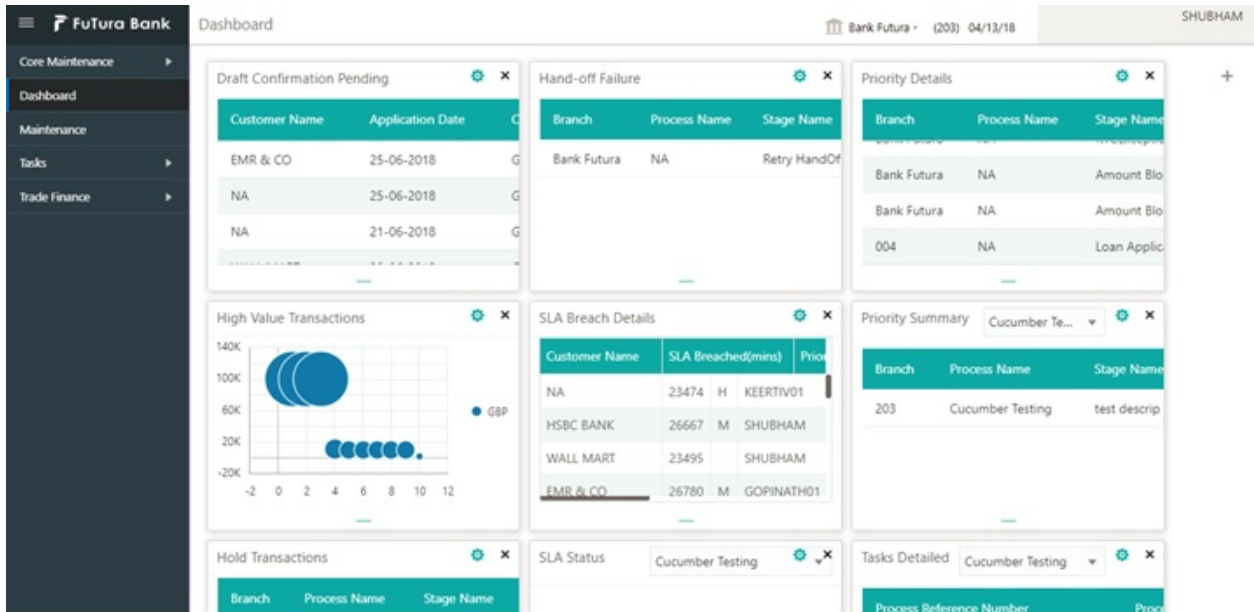
Password \*

.....

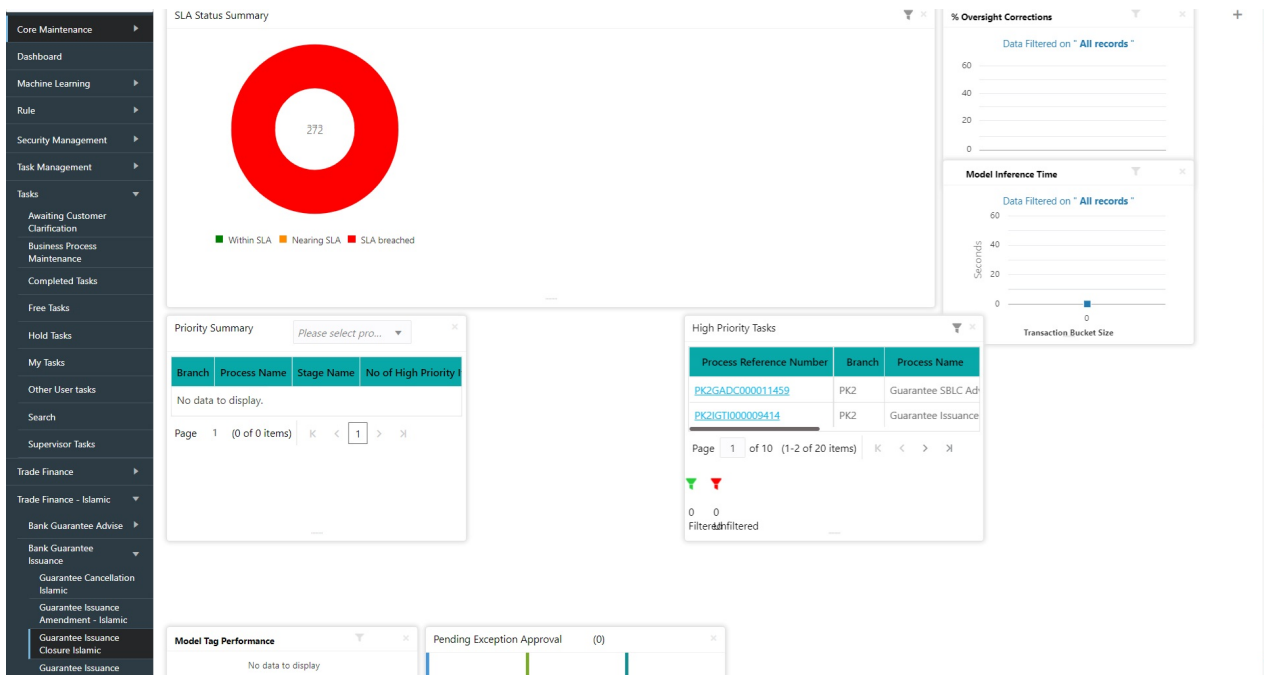
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance - Islamic > Bank Guarantee Issuance> Guarantee Issuance Closure - Islamic.**



The registration stage has two sections Application Details and SBLC/Guarantee Details. Let's look at the registration screens below:

## Application Details

Guarantee Issuance Closure Islamic

Application Details

SBLC/Guarantee Number  
PK2GLIS21125A001

Branch  
PK2-Oracle Banking Trade Finan...

Closure Date  
May 5, 2021

Received From Applicant Bank  
Priority  
Medium

Customer Reference Number

Received From - Customer ID  
000153

Submission Mode  
Desk

Received From - Customer Name  
NATIONAL FREIGHT CORP

Process Reference Number  
PK2IGCL000071804

View Undertaking Undertaking Events

SBLC/Guarantee Details

22D - Form of Undertaking  
GLIS

Amount In Local Currency  
GBP £10,000.00

23X - Narrative

40C - Applicable Rules  
URDG - Uniform rules for dema...

56A - Advising Bank

39D - Additional Amounts

Product Code  
GLIS

22K - Type of Undertaking

23B - Expiry Type

40C - Narrative

Advise Through Bank

Product Description  
Islamic Guarantee Issuance-Arrears Per

22A - Purpose of Message  
ICCO - Issuance of counter-coun...

31E - Date of Expiry  
Aug 3, 2021

50 - Applicant Name  
000153 NATIONAL FREI

Counter SBLC/Guarantee Issuing Bank

32B - Undertaking Amount  
GBP £10,000.00

23X - File Identification

35G - Expiry Condition/ Event

59A - Beneficiary Name  
000321 Trade Indiv 1

Local SBLC/Guarantee Issuing Bank

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

| Field                        | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Sample Values |
|------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| SBLC/Guarantee Number        | <p>User can enter the SBLC/Guarantee Number. Alternatively, user can search for the same by using the LOV. As part of LOV criteria; user can input the Undertaking Number, Applicant, Currency, Amount or User Reference Number.</p> <p>System should display all the SBLC/Guarantee contracts where:</p> <ol style="list-style-type: none"> <li>1. Authorisation Status = Authorized</li> <li>2. Status = Active</li> <li>3. Expiry Date is earlier than Branch Date</li> <li>4. Product Codes which are of Product Type = Guarantee/Stand By.</li> </ol> <p>User can select the particular SBLC/Guarantee that can be closed</p> |               |
| Received From Applicant bank | <p>Read only field.</p> <p>Guarantee Issuance request received as per the latest Guarantee/SBLC details is displayed.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Toggle off    |
| Received From - Customer ID  | <p>Read only field.</p> <p>Customer id of the applicant or applicant's bank as per the latest Guarantee/SBLC details is displayed.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 001345        |

| Field                         | Description                                                                                                                                                                                                                                                                                                                                    | Sample Values               |
|-------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| Received From - Customer Name | Read only field.<br>Name of the customer or applicant. This field will be auto populated based on the selected customer ID as per the latest Guarantee/SBLC details.                                                                                                                                                                           | EMR & CO                    |
| Branch                        | Read only field.<br>Customer's home branch will be displayed based on the customer ID as per the latest Guarantee/SBLC details.                                                                                                                                                                                                                | 203-Bank Futura -Branch FZ1 |
| Priority                      | Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.                                                                                                                                                                                    | High                        |
| Submission Mode               | Submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Allowed values are:<br><b>Desk</b> - Request received through Desk<br><b>Fax</b> - Request received through Fax<br><b>Email</b> - Request received through Email<br>User is allowed to change the defaulted mode to another mode. | Desk                        |
| Process Reference Number      | Read only field.<br>Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.                                                                                                                                                                                                    | 203GTEISS000001134          |
| Closure Date                  | Read only field.<br>By default, the application will display branch's current date.                                                                                                                                                                                                                                                            | 04/13/2018                  |
| Customer Reference Number     | Read only field.<br>User can enter the 'Reference number' provided by the applicant/applicant bank if any.                                                                                                                                                                                                                                     |                             |

## SBLC/ Guarantee Details

Registration user can provide Guarantee details in this section.

SBLC/Guarantee Details

|                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>22D - Form of Undertaking</b><br><input type="text"/><br><b>Amount In Local Currency</b><br>GBP £10,000.00<br><b>23X - Narrative</b><br><input type="text"/><br><b>40C - Applicable Rules</b><br>URDG - Uniform rules for dema...<br><b>56A - Advising Bank</b><br><input type="text"/><br><b>39D - Additional Amounts</b><br><input type="text"/> | <b>Product Code</b><br>GLIS<br><b>22K - Type of Undertaking</b><br><input type="text"/><br><b>23B - Expiry Type</b><br><input type="text"/><br><b>40C - Narrative</b><br><input type="text"/><br><b>Advise Through Bank</b><br><input type="text"/> | <b>Product Description</b><br>Islamic Guarantee Issuance-Arrears Per<br><b>22A - Purpose of Message</b><br>ICCO - Issuance of counter-coun...<br><b>31E - Date of Expiry</b><br>Aug 3, 2021<br><b>50 - Applicant Name</b><br>000153 NATIONAL FREI<br><b>Counter SBLC/Guarantee Issuing Bank</b><br><input type="text"/> | <b>32B - Undertaking Amount</b><br>GBP £10,000.00<br><b>23X - File Identification</b><br><input type="text"/><br><b>35G - Expiry Condition/ Event</b><br><input type="text"/><br><b>59A - Beneficiary Name</b><br>000321 Trade Indiv 1<br><b>Local SBLC/Guarantee Issuing Bank</b><br><input type="text"/> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Hold Cancel Save & Close Submit

Provide the SBLC/Guarantee Details based on the description in the following table:

| Field                    | Description                                                                                                           | Sample Values                                           |
|--------------------------|-----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Form of Undertaking      | Read only field.<br>Form of Undertaking (Guarantee/Standby LC) as per the latest Guarantee/SBLC details is displayed. |                                                         |
| Product Code             | Read only field.<br>The product code used for SBLC/Guarantee Issuance should be displayed.                            | GLIS                                                    |
| Product Description      | Read only field.<br>The Product description as per the latest Guarantee/SBLC issuance is displayed.                   | Guarantee Issuance / Re-issuance upon receiving request |
| Undertaking Amount       | Read only field.<br>The amount of Undertaking as per the latest Guarantee/SBLC details is displayed.                  |                                                         |
| Amount In Local Currency | System fetches the local currency equivalent value for the transaction amount from back office (with decimal places). |                                                         |
| Type of Undertaking      | Read only field.<br>The type of Undertaking as per the latest Guarantee/SBLC details is displayed.                    |                                                         |
| Purpose of message       | Read only field.<br>The Purpose of message (Issue/Request) used during SBLC/Guarantee Issuance should be displayed.   |                                                         |
| File Identification      | Read only field.<br>This File Identification as per the latest Guarantee/SBLC details is displayed.                   |                                                         |

| Field                                | Description                                                                                                                                                 | Sample Values                                       |
|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| Narrative                            | Read only field.<br>Narrative/Additional text as per the latest Guarantee/SBLC details is displayed.                                                        |                                                     |
| Expiry Type                          | Read only field.<br>The type of Expiry as per the latest Guarantee/SBLC details is displayed.                                                               |                                                     |
| Date Of Expiry                       | Read only field.<br>The date of Expiry as per the latest Guarantee/SBLC details is displayed.                                                               | 09/30/18                                            |
| Expiry Condition/Event               | Read only field.<br>The expiry condition/event as per the latest Guarantee/SBLC details is displayed.                                                       |                                                     |
| Applicable Rules                     | Read only field.<br>Applicable Rules as per the latest Guarantee/SBLC details is displayed.                                                                 | URDG -<br>Uniform rules<br>for demand<br>guarantees |
| Narrative                            | Read only field.<br>Any kind of Narrative/Additional text as per the latest Guarantee/SBLC details is displayed.                                            |                                                     |
| Applicant Name                       | Read only field.<br>The Applicant details will be auto populated as per the latest Guarantee/SBLC details.                                                  | 001345 Nestle                                       |
| Beneficiary Name                     | Read only field.<br>The beneficiary name whose favor the undertaking (or counter-undertaking) issued is displayed as per the latest Guarantee/SBLC details. | 001344 EMR &<br>CO                                  |
| Advising Bank                        | Read only field.<br>The advising bank as per the latest Guarantee/SBLC details is displayed.                                                                | 001343 - Bank<br>Of America                         |
| Advising Through Bank                | Read only field.<br>Any additional bank requested to advise the undertaking as per the latest Guarantee/SBLC details is displayed.                          |                                                     |
| Counter SBLC/ Guarantee Issuing Bank | Read only field.<br>The Counter Guarantee Issuance Bank as per the latest Guarantee/SBLC details is displayed.                                              |                                                     |
| Local SBLC/Guarantee Issuing Bank    | Read only field.<br>The Local Guarantee Issuance Bank as per the latest Guarantee/SBLC details is displayed.                                                |                                                     |

| Field              | Description                                                                                                              | Sample Values |
|--------------------|--------------------------------------------------------------------------------------------------------------------------|---------------|
| Additional Amounts | Read only field.<br>Any additional amounts related to undertaking as per the latest Guarantee/SBLC details is displayed. |               |

## Miscellaneous

Guarantee Issuance Closure

Documents Remarks Customer Instruction Common Group Messages

Application Details

SBLC/Guarantee Number: PK2GUR21125A5E1

Branch: PK2-Oracle Banking Trade Finan...

Transaction Date: May 5, 2021

Received From Applicant Bank: ☐

Priority: Medium

Customer Reference Number:

Received From - Customer ID: 000325

Submission Mode: Desk

Received From - Customer Name: NATIONAL FREIGHT CORP

Process Reference Number: PK2GTEC000010957

View Undertaking Undertaking Events

SBLC/Guarantee Details

22D - Form of Undertaking:

Amount In Local Currency: GBP £1,000.00

23X - Narrative:

40C - Applicable Rules: URDG - Uniform rules for dema...

56A - Advising Bank: 001041 WELLS FARGO L

39D - Additional Amounts:

Product Code: GUR

22K - Type of Undertaking: CUST - Customs

23B - Expiry Type:

40C - Narrative:

Advise Through Bank:

Auto Close:

Product Description: Guarantee Issuance Reissuance upon r

22A - Purpose of Message: ICCO - Issuance of counter-coun...

31E - Date of Expiry: Aug 3, 2021

50 - Applicant Name: 000325 NATIONAL FREIGHT

Counter SBLC/Guarantee Issuing Bank:

Closure Date:

32B - Undertaking Amount: GBP £1,000.00

23X - File Identification:

35G - Expiry Condition/ Event:

59A - Beneficiary Name: 001204 PK2WALKIN1

Local SBLC/Guarantee Issuing Bank:

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Sample Values |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents             | Upload the required documents.<br>Application will display the mandatory and optional documents.                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Remarks               | Provide any additional information regarding the Guarantee Issuance. This information can be viewed by other users processing the request.<br>Content from Remarks field should be handed off to Remarks field in Backend application.                                                                                                                                                                                                                                                                   |               |
| Customer Instructions | Click to view/ input the following <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Common Group Message  | Click Common Group Message button, to send MT799 and MT999 messages from within the task.                                                                                                                                                                                                                                                                                                                                                                                                                |               |

| Field              | Description                                                                                                                                                                                                                                                                                                                           | Sample Values |
|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| View Undertaking   | On click of this button, all SBLC/Guarantee details is displayed.                                                                                                                                                                                                                                                                     |               |
| Undertaking Events | On click of this button, User can view all the Undertaking events under the Guarantee/ SBLC Issued till date.                                                                                                                                                                                                                         |               |
| Action Buttons     | After providing required data, user can perform one of the below actions.                                                                                                                                                                                                                                                             |               |
| Submit             | On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Issuance Closure.<br><br>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. |               |
| Save & Close       | Save the information provided and holds the task in you queue for working later.<br><br>This option will not submit the request.                                                                                                                                                                                                      |               |
| Cancel             | On click of Cancel, the task gets cancelled and system should clear the details captured in the screen. The task will get deleted.                                                                                                                                                                                                    |               |
| Hold               | The details provided will be registered and status will be on hold.<br><br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                                               |               |
| Checklist          | Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.                                                                                                                                                                       |               |

## Data Enrichment

On successful completion of registration of a Islamic Guarantee issuance Closure request, the request moves to the Data Enrichment stage. At this stage the gathered information during registration are scrutinized. The transaction will have the details entered during the registration stage.

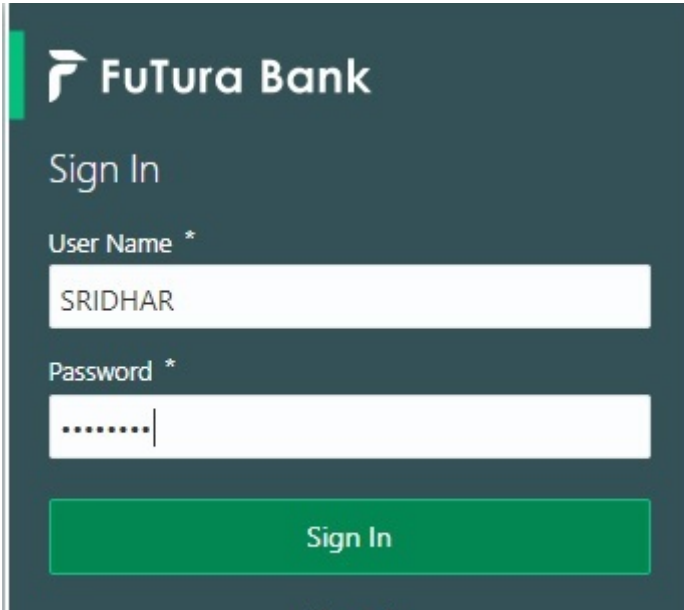


### Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



**FuTura Bank**

Sign In

User Name \*

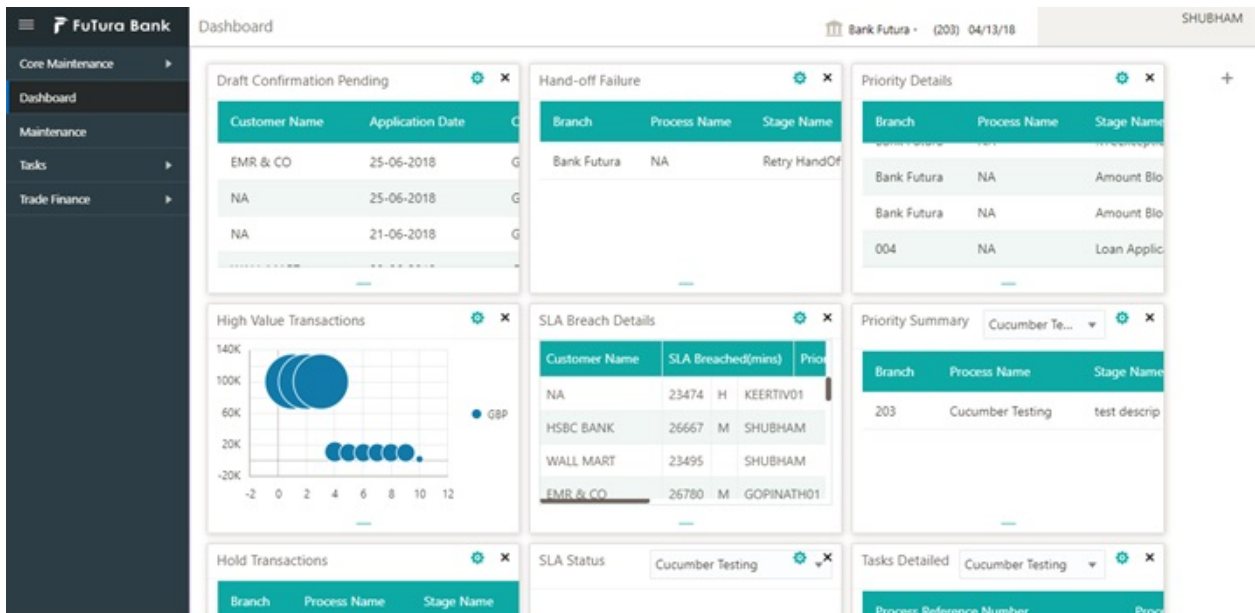
SRIDHAR

Password \*

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



**FuTura Bank** Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

**Draft Confirmation Pending**

| Customer Name | Application Date | Stage Name |
|---------------|------------------|------------|
| EMR & CO      | 25-06-2018       | G          |
| NA            | 25-06-2018       | G          |
| NA            | 21-06-2018       | G          |

**Hand-off Failure**

| Branch      | Process Name | Stage Name    |
|-------------|--------------|---------------|
| Bank Futura | NA           | Retry HandOff |

**Priority Details**

| Branch      | Process Name | Stage Name  |
|-------------|--------------|-------------|
| Bank Futura | NA           | Amount Blo  |
| Bank Futura | NA           | Amount Blo  |
| 004         | NA           | Loan Applic |

**High Value Transactions**

140K  
100K  
60K  
20K  
-20K

-2 0 2 4 6 8 10 12

GBP

**SLA Breach Details**

| Customer Name | SLA Breached(mins) | Prior        |
|---------------|--------------------|--------------|
| NA            | 23474              | H KEERTIVO1  |
| HSBC BANK     | 26667              | M SHUBHAM    |
| WALL MART     | 23495              | M SHUBHAM    |
| EMR & CO      | 26780              | M GOPINATH01 |

**Priority Summary**

| Branch | Process Name     | Stage Name   |
|--------|------------------|--------------|
| 203    | Cucumber Testing | test descrip |

**Hold Transactions**

| Branch | Process Name | Stage Name |
|--------|--------------|------------|
|--------|--------------|------------|

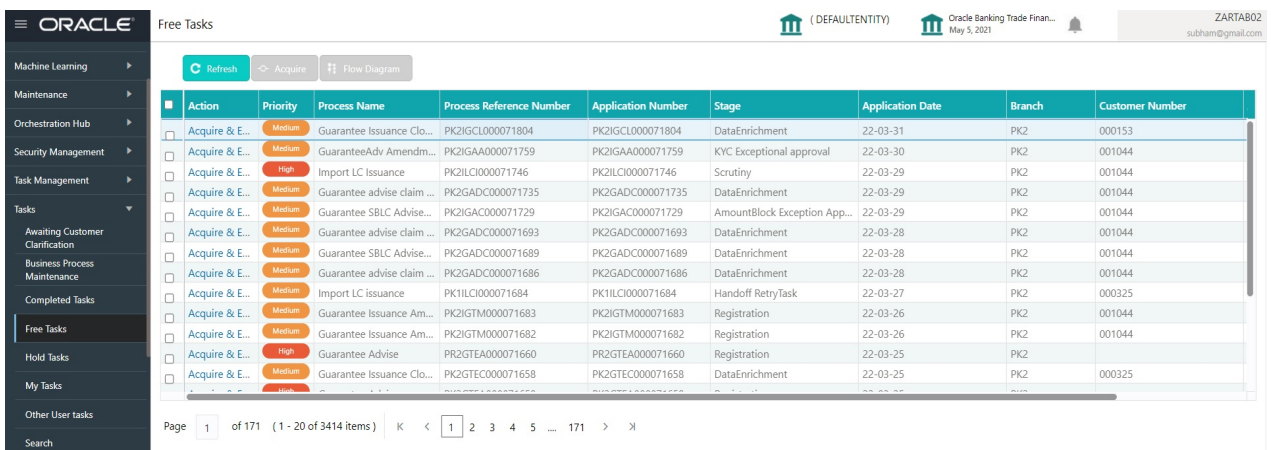
**SLA Status**

Cucumber Testing

**Tasks Detailed**

| Process Reference Number | Process |
|--------------------------|---------|
|--------------------------|---------|

3. Click **Tasks> Free Tasks**.



**ORACLE** Free Tasks

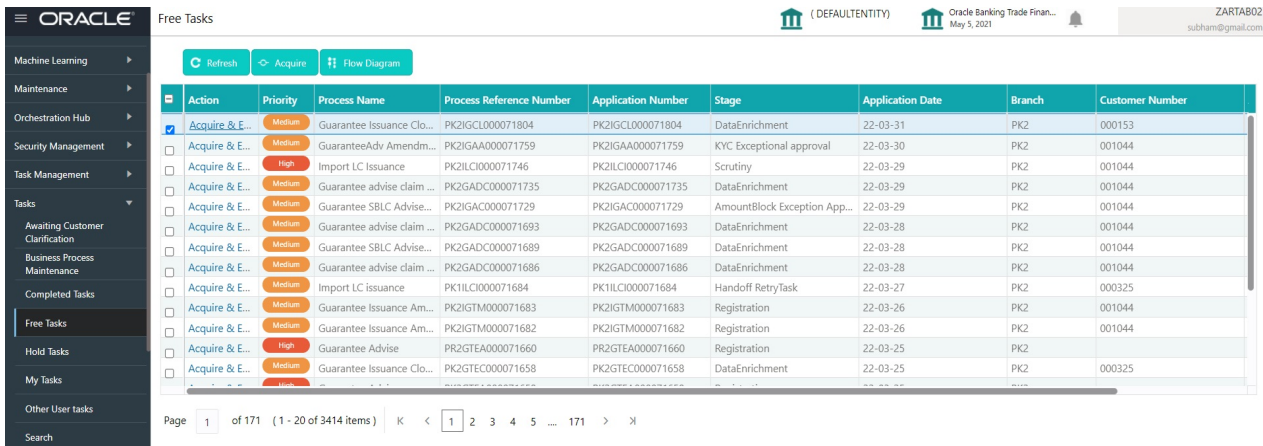
( DEFAULT ENTITY ) Oracle Banking Trade Finan... May 5, 2021 ZARTAB02 subham@gmail.com

Refresh Acquire Flow Diagram

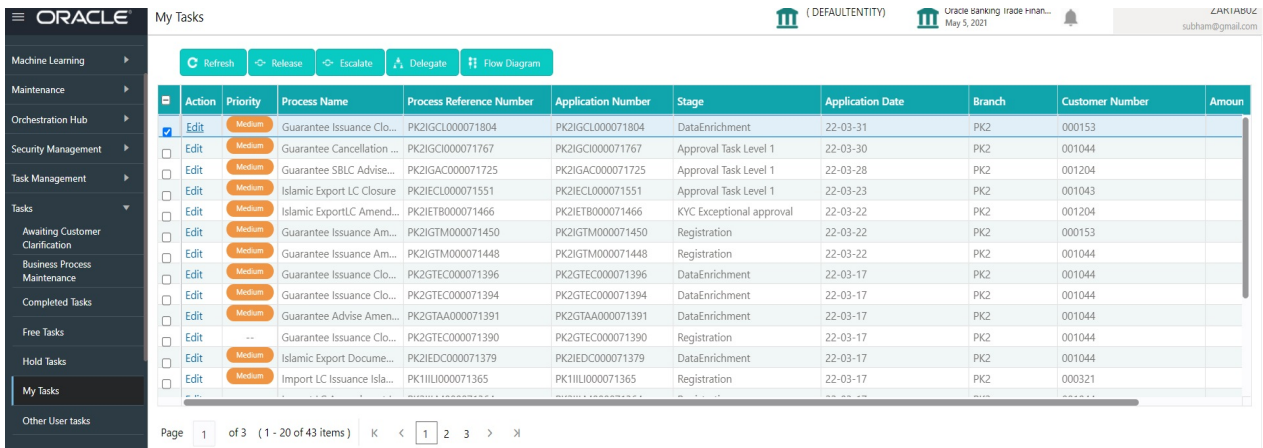
| Action         | Priority | Process Name               | Process Reference Number | Application Number | Stage                        | Application Date | Branch | Customer Number |
|----------------|----------|----------------------------|--------------------------|--------------------|------------------------------|------------------|--------|-----------------|
| Acquire & E... | Medium   | Guarantee Issuance Clo...  | PK2IGCL000071804         | PK2IGCL000071804   | DataEnrichment               | 22-03-31         | PK2    | 000153          |
| Acquire & E... | Medium   | GuaranteeAdv Amendm...     | PK2IGAA000071759         | PK2IGAA000071759   | KYC Exceptional approval     | 22-03-30         | PK2    | 001044          |
| Acquire & E... | High     | Import LC Issuance         | PK2ILCI000071746         | PK2ILCI000071746   | Scrutiny                     | 22-03-29         | PK2    | 001044          |
| Acquire & E... | Medium   | Guarantee advise claim ... | PK2GADC000071735         | PK2GADC000071735   | DataEnrichment               | 22-03-29         | PK2    | 001044          |
| Acquire & E... | Medium   | Guarantee SBLC Advise...   | PK2IGAC000071729         | PK2IGAC000071729   | AmountBlock Exception App... | 22-03-29         | PK2    | 001044          |
| Acquire & E... | Medium   | Guarantee advise claim ... | PK2GADC000071693         | PK2GADC000071693   | DataEnrichment               | 22-03-28         | PK2    | 001044          |
| Acquire & E... | Medium   | Guarantee SBLC Advise...   | PK2GADC000071689         | PK2GADC000071689   | DataEnrichment               | 22-03-28         | PK2    | 001044          |
| Acquire & E... | Medium   | Guarantee advise claim ... | PK2GADC000071686         | PK2GADC000071686   | DataEnrichment               | 22-03-28         | PK2    | 001044          |
| Acquire & E... | Medium   | Import LC Issuance         | PK1ILCI000071684         | PK1ILCI000071684   | Handoff RetryTask            | 22-03-27         | PK2    | 000325          |
| Acquire & E... | Medium   | Guarantee Issuance Am...   | PK2IGTM000071683         | PK2IGTM000071683   | Registration                 | 22-03-26         | PK2    | 001044          |
| Acquire & E... | Medium   | Guarantee Issuance Am...   | PK2IGTM000071682         | PK2IGTM000071682   | Registration                 | 22-03-26         | PK2    | 001044          |
| Acquire & E... | High     | Guarantee Advise           | PR2GTEA000071660         | PR2GTEA000071660   | Registration                 | 22-03-25         | PK2    | 001044          |
| Acquire & E... | Medium   | Guarantee Issuance Clo...  | PK2GTCE000071658         | PK2GTCE000071658   | DataEnrichment               | 22-03-25         | PK2    | 000325          |

Page 1 of 171 (1 - 20 of 3414 items) K < 1 2 3 4 5 ... 171 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.



5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.



The Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

## Main Details

As part of data enrichment, user can enter/update basic details of the incoming request. Main details section has three sub section as follows:

- Application Details
- Guarantee Details

## Application Details

Guarantee Issuance Closure Islamic  
DataEnrichment :: Application No: PK2IGCL000071804

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Screen ( 1 / 6)

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

### Main Details

#### Application Details

SBLC/Guarantee Number  
PK2IGLS21125A001

Branch  
PK2-Oracle Banking Trade Finan...

Closure Date  
May 5, 2021

#### SBLC/Guarantee Details

22D - Form of Undertaking

Amount In Local Currency  
GBP £10,000.00

23X - Narrative

40C - Applicable Rules  
URDG - Uniform rules for dema...

56A - Advising Bank

Received From Applicant Bank

Priority  
Medium

Customer Reference Number

Product Code  
GLIS

22K - Type of Undertaking

23B - Expiry Type

40C - Narrative

Advise Through Bank

Received From - Customer ID  
000153

Submission Mode  
Desk

Product Description  
Islamic Guarantee Issuance-Arrears Per

22A - Purpose of Message  
ICCO - Issuance of counter-coun...

31E - Date of Expiry  
Aug 3, 2021

50 - Applicant Name  
000153 NATIONAL FREI

Counter SBLC/Guarantee Issuing Bank

Received From - Customer Name  
NATIONAL FREIGHT CORP

Process Reference Number  
PK2IGCL000071804

32B - Undertaking Amount  
GBP £10,000.00

23X - File Identification

35G - Expiry Condition/ Event

59A - Beneficiary Name  
000321 Trade Indiv 1

Local SBLC/Guarantee Issuing Bank

Audit
Reject Refer Hold Cancel Save & Close Back Next

Provide the Application Details based on the description in the following table:

| Field                         | Description                                                                                                                                                                            | Sample Values               |
|-------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| SBLC/Guarantee Number         | Read only field.<br>SBLC/Guarantee Number selected for closure is displayed.                                                                                                           |                             |
| Received From Applicant Bank  | Read only field.<br>Guarantee Issuance request received as per the latest Guarantee/SBLC details is displayed.                                                                         | Toggle off                  |
| Received From - Customer ID   | Read only field.<br>Customer id of the applicant or applicant's bank as per the latest Guarantee/SBLC details is displayed.                                                            | 001345                      |
| Received From - Customer Name | Read only field.<br>Name of the customer or applicant as per the latest Guarantee/SBLC details is displayed.                                                                           | EMR & CO                    |
| Branch                        | Read only field.<br>Customer's home branch will be displayed as per the latest Guarantee/SBLC details.                                                                                 | 203-Bank Futura -Branch FZ1 |
| Priority                      | Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. User can change the value. | High                        |

| Field                     | Description                                                                                                                                                                                                                                                                                                                                                        | Sample Values          |
|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
| Submission Mode           | Read only field.<br>Submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Allowed values are:<br><b>Desk</b> - Request received through Desk<br><b>Fax</b> - Request received through Fax<br><b>Email</b> - Request received through Email<br>User is allowed to change the defaulted mode to another mode. | Desk                   |
| Process Reference Number  | Read only field.<br>Unique sequence number for the transaction.<br>This is auto generated by the system based on process name and branch code.                                                                                                                                                                                                                     | 203GTEISS000<br>001134 |
| Closure Date              | Read only field.<br>By default, the application will display branch's current date.                                                                                                                                                                                                                                                                                | 04/13/2018             |
| Customer Reference Number | Read only field.<br>User can enter the 'Reference number' provided by the applicant/applicant bank if any.                                                                                                                                                                                                                                                         |                        |

## Guarantee Details

**SBLC/Guarantee Details**

22D - Form of Undertaking  
Amount In Local Currency  
GBP £10,000.00  
23X - Narrative  
40C - Applicable Rules  
URDG - Uniform rules for dema...  
56A - Advising Bank  
39D - Additional Amounts

Product Code  
GLIS  
22K - Type of Undertaking  
23B - Expiry Type  
40C - Narrative  
Advise Through Bank

Product Description  
Islamic Guarantee Issuance-Arrears Per  
22A - Purpose of Message  
ICCO - Issuance of counter-coun...  
31E - Date of Expiry  
Aug 3, 2021  
50 - Applicant Name  
000153 NATIONAL FREI  
Counter SBLC/Guarantee Issuing Bank

32B - Undertaking Amount  
GBP £10,000.00  
23X - File Identification  
35G - Expiry Condition/ Event  
59A - Beneficiary Name  
000321 Trade Indiv 1  
Local SBLC/Guarantee Issuing Bank

Audit
Reject Refer Hold Cancel Save & Close Back Next

| Field               | Description                                                                                                           | Sample Values |
|---------------------|-----------------------------------------------------------------------------------------------------------------------|---------------|
| Form of Undertaking | Read only field.<br>Form of Undertaking (Guarantee/Standby LC) as per the latest Guarantee/SBLC details is displayed. |               |
| Product Code        | Read only field.<br>The product code used for SBLC/Guarantee Issuance should be displayed.                            | GLIS          |

| Field                    | Description                                                                                                                | Sample Values                                           |
|--------------------------|----------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Product Description      | Read only field.<br>The Product description as per the latest Guarantee/SBLC issuance is displayed.                        | Guarantee Issuance / Re-issuance upon receiving request |
| Undertaking Amount       | Read only field.<br>The amount of Undertaking as per the latest Guarantee/SBLC details is displayed.                       |                                                         |
| Amount In Local Currency | Read only field.<br>The local currency equivalent value for the transaction amount from back office (with decimal places). |                                                         |
| Type of Undertaking      | Read only field.<br>The type of Undertaking as per the latest Guarantee/SBLC details is displayed.                         |                                                         |
| Purpose of message       | Read only field.<br>The Purpose of message (Issue/Request) used during SBLC/Guarantee Issuance should be displayed.        |                                                         |
| File Identification      | Read only field.<br>This File Identification as per the latest Guarantee/SBLC details is displayed.                        |                                                         |
| Narrative                | Read only field.<br>Narrative/Additional text as per the latest Guarantee/SBLC details is displayed.                       |                                                         |
| Expiry Type              | Read only field.<br>The type of Expiry as per the latest Guarantee/SBLC details is displayed.                              |                                                         |
| Date Of Expiry           | Read only field.<br>The date of Expiry as per the latest Guarantee/SBLC details is displayed.                              | 09/30/18                                                |
| Expiry Condition/Event   | Read only field.<br>The expiry condition/event as per the latest Guarantee/SBLC details is displayed.                      |                                                         |
| Applicable Rules         | Read only field.<br>Applicable Rules as per the latest Guarantee/SBLC details is displayed.                                | URDG - Uniform rules for demand guarantees              |
| Narrative                | Read only field.<br>Any kind of Narrative/Additional text as per the latest Guarantee/SBLC details is displayed.           |                                                         |

| Field                                | Description                                                                                                                                                 | Sample Values            |
|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Applicant Name                       | Read only field.<br>The Applicant details will be auto populated as per the latest Guarantee/SBLC details.                                                  | 001345 Nestle            |
| Beneficiary Name                     | Read only field.<br>The beneficiary name whose favor the undertaking (or counter-undertaking) issued is displayed as per the latest Guarantee/SBLC details. | 001344 EMR & CO          |
| Advising Bank                        | Read only field.<br>The advising bank as per the latest Guarantee/SBLC details is displayed.                                                                | 001343 - Bank Of America |
| Advising Through Bank                | Read only field.<br>Any additional bank requested to advise the undertaking as per the latest Guarantee/SBLC details is displayed.                          |                          |
| Counter SBLC/ Guarantee Issuing Bank | Read only field.<br>The Counter Guarantee Issuance Bank as per the latest Guarantee/SBLC details is displayed.                                              |                          |
| Local SBLC/Guarantee Issuing Bank    | Read only field.<br>The Local Guarantee Issuance Bank as per the latest Guarantee/SBLC details is displayed.                                                |                          |
| Additional Amounts                   | Read only field.<br>Any additional amounts related to undertaking as per the latest Guarantee/SBLC details is displayed.                                    |                          |

## Action Buttons

Use action buttons based on the description in the following table:

| Field     | Description                                                                                                                                                                                                                                                              | Sample Values |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | Click the Documents icon to Upload the required documents.<br>Application will display the mandatory and optional documents.                                                                                                                                             |               |
| Remarks   | Click the Remarks icon to provide any additional information regarding the Guarantee Issuance closure. This information can be viewed by other users processing the request.<br>Content from Remarks field should be handed off to Remarks field in Backend application. |               |
| Overrides | Click to view overrides, if any.                                                                                                                                                                                                                                         |               |

| Field                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul> |               |
| Common Group Message  | Click Common Group Message button, to send MT799 and MT999 messages from within the task.                                                                                                                                                                                                                                                                                                                                                                                                                           |               |
| View Undertaking      | On click of this button, all SBLC/Guarantee details is displayed.                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |
| Save & Close          | <p>Save the information provided and holds the task in 'My Task' for working later.</p> <p>This option will not submit the request.</p>                                                                                                                                                                                                                                                                                                                                                                             |               |
| Cancel                | On click of Cancel, the task gets cancelled and system should clear the details captured in the screen. The task will get deleted.                                                                                                                                                                                                                                                                                                                                                                                  |               |
| Hold                  | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                                                                                    |               |
| Reject                | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>                                 |               |
| Next                  | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                                                                           |               |

## Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system. The user can view the details of additional fields for Closure of Guarantee/SBLC Issued request.

Guarantee Issuance Closure Islamic  
DataEnrichment :: Application No:- PK2IGCL000071804

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Main Details  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Additional Fields  
Additional Fields  
No Additional fields configured!

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (2 / 6)

## Action Buttons

Use action buttons based on the description in the following table:

| Field            | Description                                                                                                                                                                                                                                                      | Sample Values |
|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents        | Click the Documents icon to Upload the required documents.<br>Application will display the mandatory and optional documents.                                                                                                                                     |               |
| Remarks          | Click the Remarks icon to provide any additional information regarding the Guarantee Issuance. This information can be viewed by other users processing the request.<br>Content from Remarks field should be handed off to Remarks field in Backend application. |               |
| Overrides        | Click to view overrides, if any.                                                                                                                                                                                                                                 |               |
| View Undertaking | On click of this button, all SBLC/Guarantee details is displayed.                                                                                                                                                                                                |               |
| Save & Close     | Save the information provided and holds the task in 'My Task' for working later.<br>This option will not submit the request.                                                                                                                                     |               |
| Cancel           | On click of Cancel, the task gets cancelled and system should clear the details captured in the screen. The task will get deleted.                                                                                                                               |               |
| Hold             | The details provided will be on hold.<br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                            |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Back   | Click Back to move the task to the previous segment.                                                                                                                                                                                                                                                                                                                                                                                                                                |               |
| Next   | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                                           |               |

## Advices

This section defaults the advices details data segment of the Closure of Guarantee/SBLC Issued, based on the advices maintained at the Product level.

DE User can view the advices generated for Closure of Guarantee/SBLC Issued request. Some of the possible advices are Closure of Guarantee/SBLC Issued and Payment Message.

Guarantee Issuance Closure Islamic  
DataEnrichment :: Application No:- PK2IGCL000071804

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Main Details  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Advices

|                                                                                                                                                           |                                                                                                                                       |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| <p>Advice : LC_CLOSE_ADV</p> <p>Advice Name : LC_CLOSE_ADV<br/>Advice Party : APP<br/>Party Name : NATIONAL FREIGHT CORP<br/>Suppress : NO<br/>Advice</p> | <p>Advice : PAYMENT_MESS...</p> <p>Advice Name : PAYMENT_MESSAGE<br/>Advice Party :<br/>Party Name :<br/>Suppress : NO<br/>Advice</p> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen ( 3 / 6 )

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

☐

Party ID

001044

Advice Name

GUA\_CLAIM\_ADV

Medium

MAIL

Advice Party

APP

Party Name

GOODCARE PLC

FFT Code

+

-

No data to display.

Instructions

OK

Cancel

| Field                                                                               | Description                                                                                                                                                       | Sample Values |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Suppress Advice                                                                     | <b>Toggle on:</b> Switch on the toggle if advice is suppressed.<br><b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments |               |
| Advice Name                                                                         | User can select the instruction code as a part of free text.                                                                                                      |               |
| Medium                                                                              | The medium of advices is defaulted from the system. User can update if required.                                                                                  |               |
| Advice Party                                                                        | Value be defaulted from Guarantee /SBLC Issuance. User can update if required.                                                                                    |               |
| Party ID                                                                            | Value be defaulted from Guarantee /SBLC Issuance. User can update if required.                                                                                    |               |
| Party Name                                                                          | Read only field.<br>Value be defaulted from Guarantee /SBLC Issuance.                                                                                             |               |
| Free Format Text                                                                    |                                                                                                                                                                   |               |
| FFT Code                                                                            | User can select the FFT code as a part of free text.                                                                                                              |               |
| FFT Description                                                                     | FFT description is populated based on the FFT code selected.                                                                                                      |               |
|  | Click plus icon to add new FFT code.                                                                                                                              |               |
| Action                                                                              | Click Delete icon to remove any existing FFT code.<br>Click Edit icon to edit the existing FFT code.                                                              |               |

Instruction Details

| Field                                                                             | Description                                                                                                              | Sample Values |
|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|---------------|
| Instruction Code                                                                  | User can select the instruction code as a part of free text.                                                             |               |
| Instruction Description                                                           | Instruction description is populated based on the FFT code selected.                                                     |               |
|  | Click plus icon to add new instruction code.                                                                             |               |
| Action                                                                            | Click Delete icon to remove any existing instruction code.<br><br>Click Edit icon to edit the existing instruction code. |               |

## Action Buttons

Use action buttons based on the description in the following table:

| Field            | Description                                                                                                                                                                                                                                                                  | Sample Values |
|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents        | Click the Documents icon to Upload the required documents.<br><br>Application will display the mandatory and optional documents.                                                                                                                                             |               |
| Remarks          | Click the Remarks icon to provide any additional information regarding the Guarantee Issuance closure. This information can be viewed by other users processing the request.<br><br>Content from Remarks field should be handed off to Remarks field in Backend application. |               |
| Overrides        | Click to view overrides, if any.                                                                                                                                                                                                                                             |               |
| View Undertaking | On click of this button, all SBLC/Guarantee details is displayed.                                                                                                                                                                                                            |               |
| Save & Close     | Save the information provided and holds the task in 'My Task' for working later.<br><br>This option will not submit the request.                                                                                                                                             |               |
| Cancel           | On click of Cancel, the task gets cancelled and system should clear the details captured in the screen. The task will get deleted.                                                                                                                                           |               |
| Hold             | The details provided will be on hold.<br><br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                    |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Back   | Click Back to move the task to the previous segment.                                                                                                                                                                                                                                                                                                                                                                                                                                |               |
| Next   | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                                           |               |

## Additional Details

As a part of Additional details section, the user can verify and enter the basic Additional Details during Closure of Guarantee/SBLC Issued request.

Guarantee /SBLC Issued request may have impact on Limits and Collateral, Commission, Charges and Taxes and Preview Messages.

Guarantee Issuance Closure Islamic  
DataEnrichment : Application No:- PK2IGCL000071804

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Main Details  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Additional Details

|                                                                                                                                                                                                                          |                                                                                                                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| <b>Limit &amp; Collateral</b><br>Limit Currency :<br>Limit Contribution :<br>Limit Status :<br>Collateral Currency : <b>GBP</b><br>Collateral : <b>1000</b><br>Contribution : <b>Not Verified</b><br>Collateral Status : | <b>Charge Details</b><br>Charge : <b>GBP 50</b><br>Commission :<br>Tax :<br>Block Status : <b>Not Initiated</b> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen ( 4 / 6 )

## Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make

use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBT FPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limit & Collateral ✕

Limit Details

☐

| Customer ID         | Line ID | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message | View |
|---------------------|---------|----------------|-----------------------|---------------------|----------------------|------------------|------|
| No data to display. |         |                |                       |                     |                      |                  |      |

Cash Collateral Details

Collateral Percentage <sup>\*</sup>

Collateral Currency and amount

Exchange Rate

| Sequence Number | Settlement Account Currency | Settlement Account | Exchange Rate | Collateral % | Contribution Amount | Contribution Amount in Account Currency | Account Balance Check Response | Response Message | View |
|-----------------|-----------------------------|--------------------|---------------|--------------|---------------------|-----------------------------------------|--------------------------------|------------------|------|
| 1               |                             | PK20010440017      | 1             | 8            | £40,000.00          |                                         | NA                             |                  | 1    |

Save & Close

Close

## Limit Details

Limit Details

Customer Id

001044

Q

Line ID \*

001044\_GB

Q

Contribution % \*

100.0

▼

▲

Limits Description

Contribution Currency

GBP

Contribution Amount \*

£9,000.00

Limit Currency

GBP

Limit Available Amount

£9,99,999.00

Limit Check Response

Available

Response Message

The Earmark can be performed as the f

Expiry Date

24-Dec-2020

Verify

Save & Close

Close

| Field                  | Description                                                                                                                                                                                   | Sample Values |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Customer ID            | Read Only field.<br>Applicant's/Applicant Bank customer ID will get defaulted.                                                                                                                |               |
| Line ID                | Read Only field.<br>The various lines available and mapped under the customer id.                                                                                                             |               |
| Contribution %         | Read Only field.<br>System will default this to 100%.                                                                                                                                         |               |
| Contribution Currency  | Read Only field.<br>The guarantee currency will be defaulted in this field.                                                                                                                   |               |
| Contribution Amount    | Read Only field.<br>Contribution amount will default based on the contribution %.                                                                                                             |               |
| Limit Currency         | Read Only field.<br>Limit Currency will be defaulted in this field.                                                                                                                           |               |
| Limit Available Amount | Read Only field.<br>This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. |               |

| Field                | Description                                                             | Sample Values |
|----------------------|-------------------------------------------------------------------------|---------------|
| Limit Check Response | Read Only field.<br>Response can be 'Success' or 'Limit not Available'. |               |
| Response Message     | Read Only field.<br>Detailed Response message.                          |               |
| Expiry Date          | This field displays the date up to which the Line is valid              |               |

## Collateral Details

Limits & Collaterals is non-editable.

Collateral Details

Total Collateral Amount \*

Sequence Number

1.0

Collateral Contribution Amount \*

£40,000.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

Response

NA

Collateral Amount to be Collected \*

Collateral Split % \*

8.0

Settlement Account \*

PK20010440017

Exchange Rate

1

Account Available Amount

Response Message

Cancel

| Field                          | Description                                                                                                                                                                                                 | Sample Values |
|--------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Cash Collateral Details        |                                                                                                                                                                                                             |               |
| Collateral Percentage          | Specify the percentage of collateral to be linked to this transaction.                                                                                                                                      |               |
| Collateral Currency and amount | System populates the contract currency as collateral currency by default.<br>User can modify the collateral Currency and amount.                                                                            |               |
| Exchange Rate                  | System populates the exchange rate maintained.<br>User can modify the collateral Currency and amount.<br>System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified. |               |

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks the **View** link.

| Field                                   | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Sample Values |
|-----------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Total Collateral Amount                 | Read only field.<br>This field displays the total collateral amount provided by the user.                                                                                                                                                                                                                                                                                                                                                                                    |               |
| Collateral Amount to be Collected       | Read only field.<br>This field displays the collateral amount yet to be collected as part of the collateral split.                                                                                                                                                                                                                                                                                                                                                           |               |
| Sequence Number                         | Read only field.<br>The sequence number is auto populated with the value, generated by the system.                                                                                                                                                                                                                                                                                                                                                                           |               |
| Collateral Split %                      | Read only field.<br>The collateral split% to be collected against the selected settlement account.                                                                                                                                                                                                                                                                                                                                                                           |               |
| Collateral Contribution Amount          | Read only field.<br>Collateral contribution amount will get defaulted in this field.<br><br>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified. |               |
| Settlement Account                      | Read Only field.<br>The settlement account for the collateral.                                                                                                                                                                                                                                                                                                                                                                                                               |               |
| Settlement Account Currency             | Read Only field.<br>The Settlement Account Currency.                                                                                                                                                                                                                                                                                                                                                                                                                         |               |
| Exchange Rate                           | Read only field.<br>This field displays the exchange rate, if the settlement account currency is different from the collateral currency.                                                                                                                                                                                                                                                                                                                                     |               |
| Contribution Amount in Account Currency | Read only field.<br>This field displays the contribution amount in the settlement account currency as defaulted by the system.                                                                                                                                                                                                                                                                                                                                               |               |
| Account Available Amount                | Read only field.<br>Account available amount will be auto-populated based on the Settlement Account selection.                                                                                                                                                                                                                                                                                                                                                               |               |
| Response                                | Read Only field.<br>Response can be 'Success' or 'Amount not Available'.                                                                                                                                                                                                                                                                                                                                                                                                     |               |

| Field                                                                                       | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Sample Values |
|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Response Message                                                                            | Read only field.<br>Detailed Response message.                                                                                                                                                                                                                                                                                                                                                                                                                                 |               |
| Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |               |
| Collateral %                                                                                | Read Only field.<br>The percentage of collateral to be linked to this transaction.<br>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.<br>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified". |               |
| Contribution Amount                                                                         | This field displays the collateral contribution amount.<br>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.                                    |               |
| Account Balance Check Response                                                              | This field displays the account balance check response.                                                                                                                                                                                                                                                                                                                                                                                                                        |               |
| View Link                                                                                   | Click view link to view the existing Collateral Details.                                                                                                                                                                                                                                                                                                                                                                                                                       |               |

## Commission, Charges and Taxes Details

Charge Details

Recalculate
Redefault

Commission Details

Event

Event Description

| Component           | Rate | Modified Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settlement Account |
|---------------------|------|---------------|----------|--------|----------|-------|-------|--------------|--------------------|
| No data to display. |      |               |          |        |          |       |       |              |                    |

Page 1 (0 of 0 items)

Commission Details

| Component | Tag currency | Tag Amount | Currency | Amount | Modified | Billing               | Defer                 | Waive                 | Charge Party | Settlement Account |
|-----------|--------------|------------|----------|--------|----------|-----------------------|-----------------------|-----------------------|--------------|--------------------|
| LCCLSCHG  | GBP          | 500000     | GBP      | £50.00 |          | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |              | PK20010440017      |

Page 1 of 1 (1 of 1 items)

Tax Details

| Component           | Type | Value Date | Currency | Amount | Billing | Defer | Settlement Account |
|---------------------|------|------------|----------|--------|---------|-------|--------------------|
| No data to display. |      |            |          |        |         |       |                    |

Save & Close
Close

## Commission Details

| Field             | Description                                                                                                       | Sample Values |
|-------------------|-------------------------------------------------------------------------------------------------------------------|---------------|
| Event             | Read only field.<br>This field displays the event name.                                                           |               |
| Event Description | Read only field.<br>This field displays the description of the event.                                             |               |
| Component         | Read only field.<br>The commission component                                                                      |               |
| Rate              | Read only field.<br>Defaults from product.                                                                        |               |
| Modified Rate     | Read only field.<br>The new charge for the modified component.                                                    |               |
| Currency          | Read only field.<br>Defaults the currency in which the commission needs to be collected.                          |               |
| Amount            | Read only field.<br>An amount that is maintained under the product code defaults in this field.                   |               |
| Modified Amount   | Read only field.<br>The new charge for the modified component.                                                    |               |
| Defer             | Read only field.<br>Charges/commissions deferred and collected at any future step.                                |               |
| Waive             | Read only field.<br>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. |               |

| Field              | Description                                                      | Sample Values |
|--------------------|------------------------------------------------------------------|---------------|
| Charge Party       | Read only field.<br>Charge party will be 'Applicant' by Default. |               |
| Settlement Account | Read only field.<br>Details of the Settlement Account.           |               |

## Charge Details

| Field              | Description                                                                                                                           | Sample Values |
|--------------------|---------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Component          | Read only field.<br>Charge Component type.                                                                                            |               |
| Tag Currency       | Defaults the tag currency in which the charges have to be collected.                                                                  |               |
| Tag Amount         | Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required. |               |
| Currency           | Read only field.<br>Defaults the currency in which the charges have to be collected.                                                  |               |
| Amount             | Read only field.<br>An amount that is maintained under the product code gets defaulted in this field.                                 |               |
| Modified Amount    | Read only field.<br>The new charge for the modified component.                                                                        |               |
| Billing            | Read only field.<br>The details available for billing engine.                                                                         |               |
| Defer              | Read only field.<br>Deferred charges.                                                                                                 |               |
| Waive              | Read only field.<br>The charges waived.                                                                                               |               |
| Charge Party       | Read only field.<br>Charge party will be applicant by default.                                                                        |               |
| Settlement Account | Read only field.<br>Details of the settlement account.                                                                                |               |

## Tax Details

The tax component defaults if maintained in the product level. Following Tax Details will be displayed:

| Field      | Description                                                         | Sample Values |
|------------|---------------------------------------------------------------------|---------------|
| Component  | Read only field.<br>Tax Component type.                             |               |
| Type       | Type of tax Component.                                              |               |
| Value Date | This field displays the value date of tax component.                |               |
| Currency   | Read only field.<br>The tax currency is the same as the commission. |               |

| Field              | Description                                                                                                                                                                                                                                         | Sample Values |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Amount             | Read only field.<br>The tax amount defaults based on the percentage of commission maintained.                                                                                                                                                       |               |
| Billing            | If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.<br><br>This field is disabled, if 'Defer' toggle is enabled.                                      |               |
| Defer              | If taxes have to be deferred and collected at any future step, this option has to be enabled.<br><br>The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. |               |
| Settlement Account | Read only field.<br>Details of the settlement account.                                                                                                                                                                                              |               |

## Action Buttons

Use action buttons based on the description in the following table.

| Field            | Description                                                                                                                                                                                                                                                          | Sample Values |
|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents        | Click the Documents icon to Upload the required documents.<br><br>Application will display the mandatory and optional documents.                                                                                                                                     |               |
| Remarks          | Click the Remarks icon to provide any additional information regarding the Guarantee Issuance. This information can be viewed by other users processing the request.<br><br>Content from Remarks field should be handed off to Remarks field in Backend application. |               |
| Overrides        | Click to view overrides, if any.                                                                                                                                                                                                                                     |               |
| View Undertaking | On click of this button, all SBLC/Guarantee details is displayed.                                                                                                                                                                                                    |               |
| Save & Close     | Save the information provided and holds the task in 'My Task' for working later.<br>This option will not submit the request.                                                                                                                                         |               |
| Cancel           | On click of Cancel, the task gets cancelled and system should clear the details captured in the screen. The task will get deleted.                                                                                                                                   |               |
| Hold             | The details provided will be on hold.<br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Back   | Click Back to move the task to the previous segment.                                                                                                                                                                                                                                                                                                                                                                                                                                |               |
| Next   | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                                           |               |

## Settlement Details

The user can verify and enter the settlement details during Closure of Guarantee/SBLC Issued request.

Guarantee Issuance Closure Islamic  
DataEnrichment :: Application No:- PK2IGCL000071804

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Screen ( 5 / 6 )

**Settlement Details**  
☐ Current Event

**Settlement Details**

| Component        | Currency | Debit/Credit | Account      | Account Description   | Account Currency | Netting Indicator | Current Event |
|------------------|----------|--------------|--------------|-----------------------|------------------|-------------------|---------------|
| AGUS_COM1_LIQD   | GBP      | Debit        | PK1000325025 | NATIONAL FREIGHT CORP | GBP              | No                | No            |
| AGUS_COMM_LIQD   | GBP      | Debit        | PK1000325025 | NATIONAL FREIGHT CORP | GBP              | No                | No            |
| AVL_SET_LICAMT   | GBP      | Debit        | PK1000325025 | NATIONAL FREIGHT CORP | GBP              | No                | No            |
| AVL_SET_LICAMTEQ | GBP      | Credit       | PK1000325025 | NATIONAL FREIGHT CORP | GBP              | No                | No            |
| CLAIM_CUST_AMT   | GBP      | Debit        | PK1000325025 | NATIONAL FREIGHT CORP | GBP              | No                | No            |
| CLAIM_SETTLE_AMT | GBP      | Credit       | PK1000321013 | Trade Indiv 1         | GBP              | No                | No            |
| COLLAMT_OSEQ     | GBP      | Debit        | PK1000325025 | NATIONAL FREIGHT CORP | GBP              | No                | No            |
| COLL_AMNDAMTEQ   | GBP      | Debit        | PK1000325025 | NATIONAL FREIGHT CORP | GBP              | No                | No            |
| COLL_AMTEQ       | GBP      | Debit        | PK1000325025 | NATIONAL FREIGHT CORP | GBP              | No                | No            |
| COLL_AMT_DECR    | GBP      | Credit       | PK1000325025 | NATIONAL FREIGHT CORP | GBP              | No                | No            |

**CLAIM\_SETTLE\_AMT - Party Details**

Transfer Type: Bank Transfer

Ordering Institution:  Name/Account

Account With Institution:  Name/Account

Charge Details: Remitter All Charges

Senders Correspondent:  Name/Account

Beneficiary Institution:  Name/Account

Netting Indicator:

Receivers Correspondent:  Name/Account

Ultimate Beneficiary:  Name/Account

Ordering Customer:  Name/Account

Intermediary Institution:  Name/Account

Intermediary Reimbursement Institution:  Name/Account

**Payment Details**

Sender To Receiver 1:

Sender To Receiver 2:

Sender To Receiver 3:

Sender To Receiver 4:

Sender To Receiver 5:

Sender To Receiver 6:

**Remittance Information**

Payment Detail 1:

Payment Detail 2:

Payment Detail 3:

Payment Detail 4:

Audit

Reject Refer Hold Cancel Save & Close Back Next

The following fields should be displayed during Closure of Guarantee/SBLC Issued:

| Field               | Description                                                                                                                                                                                                                                         | Sample Values |
|---------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Current Event       | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. |               |
| Component           | Read only field.<br>System defaults the components based on the product selected.                                                                                                                                                                   |               |
| Currency            | Read only field.<br>System displays the currency for components.                                                                                                                                                                                    |               |
| Debit/Credit        | Read only field.<br>System defaults the debit/credit indicators for the components.                                                                                                                                                                 |               |
| Account             | Read only field.<br>System displays the account number chosen.                                                                                                                                                                                      |               |
| Account Description | Read only field.<br>System displays the account description for the account chosen.                                                                                                                                                                 |               |
| Account Currency    | Read only field.<br>System displays the account currency for all items based on account number.                                                                                                                                                     |               |
| Netting Indicator   | Read only field.<br>System displays the netting indicator applicable.                                                                                                                                                                               |               |
| Current Event       | System displays the current event as Y or N.                                                                                                                                                                                                        |               |

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

## Party Details

Provide the party details based on the description in the following table:

| Field                                  | Description                                                                                                                                                                                                                                                                    | Sample Values |
|----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Transfer Type                          | Select the transfer type from the drop list: <ul style="list-style-type: none"><li>• Customer Transfer</li><li>• Bank Transfer for own account</li><li>• Direct Debit Advice</li><li>• Managers Check</li><li>• Customer Transfer with Cover</li><li>• Bank Transfer</li></ul> |               |
| Charge Details                         | Select the charge details for the transactions: <ul style="list-style-type: none"><li>• Beneficiary All Charges</li><li>• Remitter Our Charges</li><li>• Remitter All Charges</li></ul>                                                                                        |               |
| Netting Indicator                      | Select the netting indicator for the component: <ul style="list-style-type: none"><li>• Yes</li><li>• No</li></ul>                                                                                                                                                             |               |
| Ordering Customer                      | Select the ordering customer from the LOV.                                                                                                                                                                                                                                     |               |
| Ordering Institution                   | Select the ordering institution from the LOV.                                                                                                                                                                                                                                  |               |
| Senders Correspondent                  | Select the senders correspondent from the LOV.                                                                                                                                                                                                                                 |               |
| Receivers Correspondent                | Select the receivers correspondent from the LOV.                                                                                                                                                                                                                               |               |
| Intermediary Institution               | Select the intermediary institution from the LOV.                                                                                                                                                                                                                              |               |
| Account with Institution               | Select the account with institution from the LOV.                                                                                                                                                                                                                              |               |
| Beneficiary Institution                | Select the beneficiary institution from the LOV.                                                                                                                                                                                                                               |               |
| Ultimate Beneficiary                   | Select the ultimate beneficiary from the LOV.                                                                                                                                                                                                                                  |               |
| Intermediary Reimbursement Institution | Select the intermediary reimbursement institution from the LOV.                                                                                                                                                                                                                |               |

## Payment Details

Provide the Payment Details based on the description in the following table:

| Field                | Description                             | Sample Values |
|----------------------|-----------------------------------------|---------------|
| Sender to Receiver 1 | Provide the sender to receiver message. |               |
| Sender to Receiver 2 | Provide the sender to receiver message. |               |
| Sender to Receiver 3 | Provide the sender to receiver message. |               |
| Sender to Receiver 4 | Provide the sender to receiver message. |               |

| Field                | Description                             | Sample Values |
|----------------------|-----------------------------------------|---------------|
| Sender to Receiver 5 | Provide the sender to receiver message. |               |
| Sender to Receiver 6 | Provide the sender to receiver message. |               |

### Remittance Information

Provide the Payment Details based on the description in the following table:

| Field            | Description                  | Sample Values |
|------------------|------------------------------|---------------|
| Payment Detail 1 | Provide the payment details. |               |
| Payment Detail 2 | Provide the payment details. |               |
| Payment Detail 3 | Provide the payment details. |               |
| Payment Detail 4 | Provide the payment details. |               |

### Action Buttons

Use action buttons based on the description in the following table:

| Field            | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Sample Values |
|------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents        | Click the Documents icon to Upload the required documents.<br><br>Application will display the mandatory and optional documents.                                                                                                                                                                                                                                                                                                                                      |               |
| Remarks          | Click the Remarks icon to provide any additional information regarding the Guarantee Issuance. This information can be viewed by other users processing the request.<br><br>Content from Remarks field should be handed off to Remarks field in Backend application.                                                                                                                                                                                                  |               |
| Overrides        | Click to view overrides, if any.                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |
| View Undertaking | On click of this button, all SBLC/Guarantee details is displayed.                                                                                                                                                                                                                                                                                                                                                                                                     |               |
| Save & Close     | Save the information provided and holds the task in 'My Task' for working later.<br><br>This option will not submit the request.                                                                                                                                                                                                                                                                                                                                      |               |
| Cancel           | On click of Cancel, the task gets cancelled and system should clear the details captured in the screen. The task will get deleted.                                                                                                                                                                                                                                                                                                                                    |               |
| Hold             | The details provided will be on hold.<br><br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                                                                                                                                                                                                             |               |
| Reject           | On click of Reject, user must select a reject reason from a list displayed by the system.<br><br>Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a reject description.<br><br>This reject reason will be available in the remarks window throughout the process. |               |
| Back             | Click Back to move the task to the previous segment.                                                                                                                                                                                                                                                                                                                                                                                                                  |               |
| Next             | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.                                                                                                                                                                                                                                             |               |

## Summary

User can review the summary details for Islamic Closure of Guarantee/SBLC Issued request.

The user can see the summary tiles. The tiles must display a list of important fields with values. User must be also able to drill down from summary tiles into respective data segments.

Guarantee Issuance Closure Islamic  
DataEnrichment :: Application No:- PK2IGCL000071804

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Screen ( 6 / 6 )

Main Details Additional Fields Advices Settlement Details

SBLC/Guarantee Type :  
Submission Mode : **Desk**  
Date of Issue : **2021-05-05**

Click here to view Additional fields

Advice 1 : **LC\_CLOSE\_ADV**  
Advice 2 : **PAYMENT\_ME**

Component : **OTHBNKCHG\_**  
Account Number : **PK1000325025**  
Currency : **GBP**

Limits and Collaterals Commission,Charges and Taxes Preview Messages Compliance details

Limit Currency :  
Limit Contribution :  
Limit Status : **Not Verified**  
Collateral Currency : **GBP**  
Collateral Contr. : **1000**  
Collateral Status : **Not Verified**

Charge : **GBP50**  
Commission :  
Tax :  
Block Status : **Not Initia**

Language : **ENG**  
Preview Message : -

KYC : **Not Initia**  
Sanctions : **Not Initia**  
AML : **Not Initia**

Parties Details Accounting Details

Applicant : **NATIONAL F**  
Beneficiary : **Trade Indi**

Event : **CLOS**  
Account Number : **PK1000325025**  
Branch : **PK1**

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

### Tiles Displayed in Summary

- Main Details - User can view application details and Guarantee/SBLC Closure details.
- Guarantee Details - User can view the Counter Guarantee details and Guarantee details. The user can modify the details if required.
- Additional Fields - User can view the additional field details.
- Advices - User can view the advices details.
- Settlement Details: User can view the Settlement details.
- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes.
- Preview Message - : User can view the SWIFT message and Mail Advice.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Accounting Details: User can view the accounting details.



### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

## Action Buttons

Use action buttons based on the description in the following table:

| Field            | Description                                                                                                                                                                                                                                                                                                                           | Sample Values |
|------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents        | Click the Documents icon to Upload the required documents.<br><br>Application will display the mandatory and optional documents.                                                                                                                                                                                                      |               |
| Remarks          | Click the Remarks icon to provide any additional information regarding the Guarantee Issuance. This information can be viewed by other users processing the request.<br><br>Content from Remarks field should be handed off to Remarks field in Backend application.                                                                  |               |
| Overrides        | Click to view overrides, if any.                                                                                                                                                                                                                                                                                                      |               |
| View Undertaking | On click of this button, all SBLC/Guarantee details is displayed.                                                                                                                                                                                                                                                                     |               |
| Submit           | On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Issuance Closure.<br><br>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. |               |
| Save & Close     | Save the information provided and holds the task in 'My Task' for working later.<br><br>This option will not submit the request.                                                                                                                                                                                                      |               |
| Cancel           | On click of Cancel, the task gets cancelled and system should clear the details captured in the screen. The task will get deleted.                                                                                                                                                                                                    |               |
| Hold             | The details provided will be on hold.<br><br>This option is used, if there are any pending information yet to be received from applicant.                                                                                                                                                                                             |               |

| Field  | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Back   | Click Back to move the task to the previous segment.                                                                                                                                                                                                                                                                                                                                                                                                                                |               |

## Multi Level Approval

Approval user can review and approve the Closure of Guarantee/SBLC Issued. The user can view the summary of details updated in multilevel approval stage for Closure of Guarantee/SBLC Issued request.

The user log i to the application to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Undertaking Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey

Documents
Remarks

Currency
GBP

Undertaking Amount
£10,000.00

Refer
Close
Proceed

## Approval Summary

Guarantee Issuance Closure Islamic  
Approval Task Level 1 : Application No:- PK2IGCL000011987

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

| Main Details                                                                                | Limits and Collaterals                                                                                                                                                                           | Commission,Charges and Taxes                                          | Advices                                                                        | Preview Messages                             |
|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------------------------------------------|----------------------------------------------|
| SBLC/Guarantee Type :<br>Submission Mode : <b>Desk</b><br>Date of Issue : <b>2021-05-05</b> | Limit Currency :<br>Limit Contribution :<br>Limit Status : <b>Not Verified</b><br>Collateral Currency : <b>GBP</b><br>Collateral Contr. : <b>1000</b><br>Collateral Status : <b>Not Verified</b> | Charge :<br>Commission :<br>Tax :<br>Block Status : <b>Not Initia</b> | Advice 1 :<br>Advice 2 :                                                       | Language : <b>ENG</b><br>Preview Message : - |
| Additional Fields                                                                           | Settlement Details                                                                                                                                                                               | Parties Details                                                       | Accounting Details                                                             | Exception(Approval)                          |
| Click here to view Additional fields :                                                      | Component :<br>Account Number :<br>Currency :                                                                                                                                                    | Applicant : <b>NATIONAL F</b><br>Beneficiary : <b>Trade Indi</b>      | Event : <b>GCLM</b><br>AccountNumber : <b>313100003</b><br>Branch : <b>PK2</b> | EXCEPTION : <b>Nil</b>                       |

Audit Reject Hold Refer Cancel Approve

### Tiles Displayed in Summary:

- Main Details - User can view application details and Guarantee/SBLC Closure details.
- Guarantee Details - User can view the Counter Guarantee details and Guarantee details. The user can modify the details if required.
- Additional Fields - User can view the additional field details.
- Advices - User can view the advices details.
- Settlement Details: User can view the Settlement details.

- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes.
- Preview Message - : User can view the SWIFT message and Mail Advice.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Accounting Details: User can view the accounting details.Action Buttons.



**Note**  
When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

## Action Buttons

Use action buttons based on the description in the following table:

| Field     | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Sample Values |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Documents | <p>Click the Documents icon to verify already attached documents.</p> <p>Based on the transaction value, there can be one or more approvers.</p> <p>After verification and approval the transaction gets approved and if there are additional approvals, the task will move to the next approver. After all approvals, the system will hand-off the transaction details to the back end system for posting.</p>                                                                     |               |
| Remarks   | Click the Remarks icon to view the remarks captured in the process during earlier stages.                                                                                                                                                                                                                                                                                                                                                                                           |               |
| Reject    | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> |               |
| Hold      | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>                                                                                                                                                                                                                                                                                                      |               |

| Field   | Description                                                                                                                                                                                                                                                                                                                                                                        | Sample Values |
|---------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| Refer   | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul> |               |
| Cancel  | On click of Cancel the user can cancel the DE window and return to dashboard. The data input will not be saved.                                                                                                                                                                                                                                                                    |               |
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.                                                                               |               |

## Customer - Acknowledgement letter Format

Customer Acknowledgment is generated every time a new Guarantee Issuance is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your Closure of Guarantee/SBLC Issued Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Closure of Guarantee/SBLC Issued with the below details:

APPLICANT: <APPLICANT NAME>

BENEFICIARY NAME: <BENEFICIARY>

CURRENCY: < CCY>

AMOUNT: <AMT>

DATE OF ISSUE: <DATE OF ISSUE>

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute Closure of Guarantee/SBLC Issued.

Thank You for banking with us.

Regards,

<DEMO BANK>

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## Customer - Reject Letter Format

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Guarantee Application for Closure of Guarantee/SBLC Issued <User Ref> under our <Process Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Closure of Guarantee/SBLC Issued application request dated <Application Date – DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to close the required Guarantee/SBLC Issued.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the Guarantee due to the below reasons:

<Reject Reason 1>

<Reject Reason 2>

<Reject Reason 3>

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Closure of Guarantee/SBLC Issued application review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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